

Retro-Commissioning Lite Application

Retro-commissioning Lite Goals:

- Provide financial incentives to support implementation of no- and low-cost energy efficiency improvements (0-2 year payback) to systems in smaller facilities.
- Reduce facility operating costs by optimizing the operation of systems for facilities.
- Provide a road map of energy efficiency opportunities for capital improvement projects (2-15 year payback) to be implemented via the Custom Initiative.

Does your facility/project qualify?

- Intended for facilities that have 20,000 to 100,000 square feet of air-conditioned space.
- Existing Energy Management Control System (EMCS) that monitors and controls HVAC systems is not required (any facility with more than 100,000 square feet of air-conditioned space and has an EMCS must pursue a Large Facility RCx study).
- At least five years old.
- Non-residential facility.
- Electric projects: electric delivery service rate DS2, DS3, DS4, DS5, or DS6 and Energy Efficiency Programs Charge on Ameren Illinois electric bill. If your account is opted out of the Energy Efficiency Programs for 2024, you will be ineligible to participate in Ameren Illinois' EE electric program.
- Gas projects: gas delivery service rate GDS2, GDS3, GDS4, GDS5, or GDS7 and Energy Efficiency Programs Charge on Ameren Illinois gas bill.
- Estimated project completion date is by December 31, 2024
- Final application paperwork is due within 30 days of project completion **or by December 31, 2024 whichever comes first.**

Incentives:

- The most common no cost/low cost measures implemented through Retro-Commissioning (RCx) Lite include modifications to optimize HVAC and lighting system operations. Please refer to the RCx Lite Energy Savings Summary worksheet (page 4) for standardized measure opportunities.
- Program incentives are provided to defray a portion of the RCx survey cost.
- Program incentives include a survey incentive and an implementation incentive.
 - The survey incentive is capped at 100% of the survey cost capped at \$20,000, with a minimum amount of implemented savings
 - The implementation incentive of \$0.04/kWh saved and/or \$0.15/therm saved applies to all implemented and verified RCx measures with a 0-2 year payback (savings capped at 25% of the facility's annual usage unless metered verification provided).
 - In facilities where Ameren Illinois provides only one fuel source (gas or electric), the survey incentive will be reduced to 50% of the survey cost.
- Customers qualify for the RCx incentive by implementing sufficient 0-2 year payback energy efficiency measures to meet the minimum energy savings requirement outlined in the preapproval letter.
- Recommendations and supporting data from the RCx survey ("Implementation Plan") may be used to provide the basis of a Custom Program application.
- Measures must be (1) self-installed by an employee of the Ameren Illinois customer listed on this application, or (2) installed by an Illinois Commerce Commission-approved Certified Installer to qualify for an incentive. Certification of the self-installation or evidence of installation by a Certified Installer will be required. A full list of Certified Installers can be found at www.icc.illinois.gov/emdb/ucdb/search by choosing Authority Type, 'EnergyEfficiency Installers.'
- Please save a copy of this form to your computer and use Adobe Acrobat to complete the document. Most browsers (Chrome, Internet Explorer, Safari) do not auto-fill calculations.**

Participation instructions:

- **Pre-approval is required** before the RCx Lite survey is initiated (including generating purchase orders).
- There are four phases of every RCx Lite project: Application, Survey, Implementation, and Verification.
- Each phase includes a set of required documentation that must be submitted and reviewed.
- The phase requirements are listed at the beginning of each section as a checklist.

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It is of critical importance to notify the program of any scope changes being considered, as your energy savings and incentive level may be affected. Program representatives can advise you as to possible impacts of the scope changes and assist in collecting the proper documentation.

Supplemental documentation:

- Landlord Consent Form – required if Ameren Illinois customer is a tenant.
- Payment Release Authorization – required if the incentive is to be paid to a party other than the Ameren Illinois customer.

Please contact program representatives with questions: IllinoisBusinessEE@ameren.com or 1.866.800.0747.

Who and What is a Retro-Commissioning (RCx) Service Provider (RSP)?

What is a Retro-Commissioning Service Provider (RSP)?

- An RSP is a consulting firm or design build contractor with skills and experience in RCx.
- An RSP is experienced in providing RCx audits.
- An RSP has been selected by the Ameren Illinois Energy Efficiency Program to assist in the delivery of this program to Ameren Illinois customers.

How does a customer select their RSP?

- Customers and RSPs typically have an ongoing relationship and use this program to implement no cost/low cost energy efficiency measures and develop a game plan for future capital improvements.
- Customers who do not have an ongoing relationship with an RSP can work with Energy Efficiency program staff to select an RSP that meets their needs.
- Customers who have an ongoing relationship with a firm they would like to use as an RSP can work with Energy Efficiency program staff to obtain approval to use their desired service provider.

What can a customer expect from their RSP?

- Appropriate skills and experience to perform a retro-commissioning audit for their facilities.
- Solid understanding of the facilities retro-commissioning program process and guidelines.
- Proven experience in successfully completing a facilities retro-commissioning project through the Ameren Illinois Energy Efficiency Program.

Who are the current RSPs?

- The RSP list is updated annually - the current list of approved RSPs is available at www.amerenillinoisavings.com/business/retro-commissioning/.

Are there any participation restrictions for either an RSP or a customer?

- Single customers/companies are limited to three applications during the effective dates listed. Caps may be lifted by Program Management for each RCx Lite application that generates savings.
- Individual Program Allies are limited to three applications during the effective dates listed. Caps may be lifted by Program Management for each RCx Lite application that generates savings.

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Customer and Project Information

Table 1 - Ameren Illinois Customer and Project Information			
Customer Name on Ameren Illinois Account	Signed W-9 form is required documentation Click here for a blank W-9 form	Ameren Illinois Electric Account Number:	
		Ameren Illinois Natural Gas Account Number:	
Payment Remit To Mailing Address	City	State	Zip
Participant Contact Name		Title	
Participant E-mail Address	Phone (xxx) xxx-xxxx	Ext.	Fax (xxx) xxx-xxxx
Secondary Participant Contact Name (if applicable)	Phone (xxx) xxx-xxxx	E-mail Address	
Physical Installation Address (if different than above)			
Installation Address	City	State	Zip
Check one: Owner Tenant (If tenant, please complete the Landlord Consent Form)			
Name(s) of the person(s) who referred you to the Ameren Illinois Energy Efficiency Program for this project:			
Name of Ameren Illinois or Energy Efficiency Employee		Name of Referring Contractor or Program Ally	
Project Installation Information			
<input type="checkbox"/> Self-installed by an employee of the Ameren Illinois customer listed on this application		<input type="checkbox"/> Installed by Illinois Commerce Commission-registered Certified Installer (Name, as registered with ICC: _____)	
Retro-commissioning Service Provider (RSP) Information (if applicable)			
Company Name	Contact Name	Title	
Mailing Address	City	State	Zip
E-mail Address	Phone (xxx) xxx-xxxx	Ext.	Fax (xxx) xxx-xxxx
Facility/Project Description			
Facility Type (check one): University/School Office Hospital Medical Office Building (outpatient services) Municipal Building Skilled Nursing Facility Other (Please specify):		Project Description: (check all that apply): Energy Management Control System Lighting Control System HVAC Building Envelope Pumping Systems Other (please specify):	
Facility Size (square feet):	<ul style="list-style-type: none"> Intended for facilities that have 20,000 to 100,000 square feet of air-conditioned space May include multiple space types (offices, classrooms, medical, industrial, etc.) 		

Newer versions posted to the Ameren Illinois Energy Efficiency Program website supersede all previous versions. The revision number is listed in the footer of each page. The version of the application that was available at the time you submitted your pre-approval application will apply.

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Terms and Conditions

1. DEFINITIONS: Capitalized terms used but not defined elsewhere herein shall have the following meanings:

- a) **"Ameren Illinois"** shall mean Ameren Illinois Company d/b/a Ameren Illinois.
- b) **"Application"** shall mean the Customer completed program application used to apply for the specific Ameren Illinois incentive, these Terms and Conditions, and any other supplemental documentation.
- c) **"Retro-commissioning"** shall mean those projects that are found on the *Large Facility, Industrial, Retro-commissioning Lite, or Compressed Air* applications
- d) **"Customer"** shall mean an Eligible Customer who has submitted an Application.
- e) **"Custom Initiative"** shall mean those projects that are not Standard, Retro-commissioning, or Streetlighting Initiatives. Projects that are found on *New Construction Lighting, Metering & Monitoring, and Feasibility Study* are also part of the Custom Initiative.
- f) **"EEM"** shall mean energy efficiency measures.
- g) **"Eligible Customer"** shall mean a non-residential electric and/or gas customer of Ameren Illinois that own and install a Qualifying EEM at the Facility corresponding to the Ameren Illinois account number. Each program application has different eligibility requirements for Delivery Service rates (DS# for electric, GDS# for gas) to be eligible for that program. Refer to the specific program application to determine if your business is eligible for that application.
- h) **"Facility"** shall mean a single premise that, in general, consists of a contiguous tract of land separated by nothing more than a street, alley, or railroad right-of-way, where all buildings and/or energy-consuming devices are owned by a single Customer.
- i) **"New Construction"** shall include construction of new buildings, change-of-use of existing buildings or land, additions to existing buildings, or when two or more building systems are renovated, such as shell and heating, heating and lighting, etc.
- j) **"Pre-approval"** shall mean written notification via a pre-approval letter to Customer that Ameren Illinois has reviewed Customer's Application and determined that the project meets the program eligibility requirements for a maximum pre-approved incentive amount if the project is completed by the estimated completion date and all final application paperwork is submitted and approved.
- k) **"Program Bonus"** shall mean any seasonal, temporary, or promotional additional incentive paid by Ameren Illinois to Customer.
- l) **"Qualifying Energy Efficiency Measures (EEMs)"** shall mean either (i) standard gas or electric EEMs (i.e., measures found on any of the Standard Initiative applications); or (ii) measures eligible under the Custom Initiative approved by Ameren Illinois; or (iii) measures found in the Streetlighting or Retro-commissioning Initiative as identified in official program materials found on the Ameren Illinois Energy Efficiency website. The following technologies are not Qualifying EEMs: (i) technologies that do not demonstrate measurable and verifiable energy savings, including power conditioning; (ii) technologies that displace electrical energy use or natural gas to another fuel (i.e. fuel switching); or (iii) renewable energy projects (solar, wind power, etc.). Eligible gas measures do not include propane or butane measures.
- m) **"Standard Initiative"** shall mean those projects associated with standard gas or electric EEMs. Standard Initiative includes the following: *Lighting (not New Construction Lighting), VFD, HVAC, Specialty Equipment, Equipment Installation, Smart Thermostat, Steam Trap and/or Leak Survey and Repair*. See the Ameren Illinois Energy Efficiency website for individual program applications (AmerenIllinoisSavings.com).
- n) **"Streetlighting Initiative"** shall mean those projects that are found on the *Ameren Illinois owned and Municipal-owned* streetlighting applications

2. PRE-APPROVAL, INSPECTIONS AND FINAL APPROVAL:

- a) For all projects, Ameren Illinois is not obligated to award any incentive payment unless an Application is submitted and Pre-approval is granted. Ameren Illinois will not pay incentives for any EEMs ordered or any purchase order generated prior to the date of the Pre-approval letter, unless the requested incentive is less than \$10,000 on any Standard Initiative Application. Pre-approval reserves incentive funds for a period up to the estimated completion date provided in the Pre-approval letter. After the estimated completion date, Ameren Illinois may revoke the Pre-approval letter and reserved incentive funds. Customer is responsible for ensuring that the project is completed by the estimated completion date, the Application is complete and accurate, and the project meets program eligibility requirements in order to receive final approval and the incentive payment.
- b) A pre-approval inspection and post-installation inspection of the project may be required before an incentive payment is made.
- c) Ameren Illinois reserves the right to request additional supporting documentation as deemed necessary to ensure program eligibility and verify that the expected energy savings will occur.

3. INCENTIVE PAYMENT AMOUNTS:

- a) Incentive caps are defined as follows:
 - i) Standard, Custom, Retro-Commissioning, and Streetlighting Applications: Electric incentives over \$500,000 are likely to be reduced from the full incentive rate based on available program budget. Gas incentives are capped at \$250,000 per project.
 - ii) New Construction Lighting, Feasibility Study, Leak Survey and Repair, Strategic Energy Monitoring, Small Business Direct Install, and Metering & Monitoring incentives are capped all capped at lower amounts. Please see individual program applications for further details.
- b) Ameren Illinois reserves the right to adjust the incentive amount, based upon its independent assessment of appropriate savings or cost estimates.
- c) Ameren Illinois will pay no more than the incentive amount in the Pre-approval letter.
- d) Ameren Illinois reserves the right to cap incentives at \$10,000 for Standard Initiatives that did not obtain Pre-approval.
- e) The sum of all Incentives and any applicable Program Bonuses for Standard Initiative, Custom Initiative, or Streetlighting Initiative will be capped at the project cost, which includes material cost and external labor cost. Internal labor cost is not considered in the project cost. Steam Trap Surveys are eligible for incentives when internal labor is used according to the Steam Trap Application.

4. MONITORING AND EVALUATION: Customer grants Ameren Illinois and any of its agents, the right to make follow-up visits, inspections, metering, data collection and/or surveys of the Customer's Facility during the 36 months following the completion date of the project to verify the installation and performance of the EEMs that were funded by incentives upon reasonable notice to Customer. If Customer removes the EEMs at any time or Customer ceases to be a delivery service customer of Ameren Illinois within 36 months after installation, Ameren Illinois shall be entitled to recover from Customer the total amount of incentive payments made plus interest.

5. CUSTOMER DATA: By submitting an Application, Customer understands and authorizes Ameren Illinois and its third party providers and agents to retain Customer's Application, and use, store and share the information contained in the Application, together with such data and documentation collected in connection with the project, for the program and its internal business purposes. Customer agrees that Ameren Illinois may share such information with the

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Illinois Commerce Commission, or its contractors, who plan to evaluate my energy usage. Ameren Illinois may release aggregated, non-identifiable data to third parties for regulatory and non-regulatory purposes.

6. CUSTOMER SHALL PAY ALL TAXES: Incentive payments received by Customer or any third party payee designated by Customer on a Payment Release Authorization Form may be taxable by the federal, state, and local government. Customer is responsible for declaring and paying all such taxes, regardless of who receives the incentive.

7. REMOVAL OF EXISTING EQUIPMENT: Customer agrees, as a condition of participation in the program, to remove and dispose of any equipment being replaced by the EEMs in accordance with all applicable laws, rules, and regulations. Customer further agrees not to reinstall any replaced equipment or transfer it to any other party for installation in Illinois.

8. OWNERSHIP:

a) EEMs purchased and installed with incentives provided by this program, and all energy savings realized from the installation of such EEMs, are the property of Customer.

b) In consideration of the incentives provided by this program, Customer agrees that Ameren Illinois is entitled to all rights to any system capacity and environmental credits and attributes that may be associated with EEMs for which incentives from Ameren Illinois were received, and Customer waives, and agrees not to seek, any right to the same.

9. CHANGES OR CANCELLATION OF THE PROGRAM:

a) Ameren Illinois, in its sole discretion, may change any of the terms and conditions of the program, suspend acceptance of Applications, deny Applications already received and not yet pre-approved or terminate the program at any time without prior notice.

b) In the event of program change or cancellation, Applications that have been pre-approved will be processed to completion under the program requirements and Terms and Conditions in effect at the time of Pre-approval by Ameren Illinois.

c) Incentives under the program are offered on a first-come, first-served basis and are subject to project eligibility and the availability of funds.

10. LIMITATION OF LIABILITY AND INDEMNIFICATION:

a) AMEREN ILLINOIS' TOTAL LIABILITY TO CUSTOMER UNDER THESE TERMS AND CONDITIONS, OR ANY OTHER REQUIREMENT OF CUSTOMER'S APPLICATION OR CONDITION OF INCENTIVE AWARD SHALL BE LIMITED TO PAYMENT OF THE AMOUNT OF THE INCENTIVE PAYMENTS DUE TO CUSTOMER IN THE APPLICATION OR PRE-APPROVAL LETTER ACCORDING TO THE PROGRAM. AMEREN ILLINOIS, ITS PARENT, AFFILIATES AND SUBSIDIARIES, AND THEIR DIRECTORS, OFFICERS, EMPLOYEES, CONTRACTORS OR AGENTS SHALL NOT BE LIABLE TO THE CUSTOMER FOR THE CUSTOMER'S FAILURE TO ACHIEVE ANY RESULTS IN ENERGY SAVINGS; THE OPERATION OF THE CUSTOMER'S FACILITY; LOSS OF PROFITS OR REVENUE; LOSS OF USE OF CUSTOMER'S PROPERTY, EQUIPMENT OR POWER SYSTEM; INCREASED COSTS OF ANY KIND, INCLUDING BUT NOT LIMITED TO CAPITAL COST, FUEL COST AND COST OF PURCHASED OR REPLACEMENT POWER; OR ANY SPECIAL, INDIRECT, CONSEQUENTIAL OR INCIDENTAL DAMAGES WHATSOEVER IN CONNECTION WITH CUSTOMER'S APPLICATION, CUSTOMER'S PARTICIPATION IN THE PROGRAM, ANY EEMS INSTALLED AT CUSTOMER'S FACILITY OR ANY ACTIVITIES ASSOCIATED WITH THE PROJECT. *BY PARTICIPATING IN THE AMEREN ILLINOIS PROGRAM, CUSTOMER AGREES TO WAIVE ANY CLAIMS, EXCEPT AS PROVIDED IN THIS SECTION, AND FULLY RELEASES AMEREN ILLINOIS FROM ANY DAMAGES, OF ANY KIND ARISING OUT OF OR RELATED TO CUSTOMER'S APPLICATION AND ANY EEMS INSTALLED AT CUSTOMER'S FACILITY.*

b) Customer shall defend, indemnify, and hold harmless Ameren Illinois, its parent, affiliate and subsidiaries, and their representative directors, officers, employees, contractors and agents from and against all liabilities, losses, claims, damages, judgments, penalties, costs and expenses (including, without limitation, attorney's fees and expenses) from and against third party claims for injuries, including death, to any person, or for property damage, or for payment for goods or services arising out of or relating to Customer's Application or any EEMs installed at Customer's Facility.

11. NO WARRANTIES: Ameren Illinois and its parent, affiliates, employees, contractors and agents do not guarantee the energy savings, and do not make any representations or warranties of any kind, regarding the results to be achieved by the EEMs or the adequacy or safety of such measures. Ameren Illinois and its parent, affiliates, employees, contractors and agents do not endorse, guarantee, or warrant any particular manufacturer, contractor or product, and do not endorse or guarantee any claims, promises, work, or equipment made performed or furnished by any contractors or vendors that sell or install EEMs.

12. CHOICE OF LAW AND DISPUTES.

a) THESE TERMS AND CONDITIONS, OR ANY OTHER REQUIREMENT OF THE APPLICATION OR CONDITION OF INCENTIVE AWARD WILL BE GOVERNED IN ALL RESPECTS BY THE LAWS, STATUTES, AND REGULATIONS OF THE STATE OF ILLINOIS. AMEREN ILLINOIS AND CUSTOMER HEREBY IRREVOCABLY AND UNCONDITIONALLY WAIVE ANY RIGHT EITHER SUCH PARTY MAY HAVE TO A TRIAL BY JURY OR TO INITIATE OR BECOME A PARTY TO ANY CLASS ACTION CLAIMS IN RESPECT OF ANY ACTION, SUIT OR PROCEEDING DIRECTLY OR INDIRECTLY ARISING OUT OF OR RELATING TO THIS PROGRAM.

b) Customer agrees that any dispute arising out of or related the workmanship or performance of an EEM or the adequacy or safety or such measure shall be resolved solely between the Customer and the EEM contractor or equipment provider. **(Rev17)**

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Application Phase

Completing this portion of the application is the second step in applying for incentive money for your RCx Lite project. To begin the process, complete and submit the items listed in this check list.

Application Phase Checklist	
1.	Survey Scope and Savings Estimates (Tables 2a and 2b)
2.	Customer signature (Acknowledgement and Signature Block)
3.	RSP Survey Proposal
4.	Walkthrough Survey Report
5.	Building Comparison Scores (optional)

RSP Survey Proposal Requirements (Include as supplemental documentation to the incentive application):

- Provided on RSP’s letterhead
- Include survey scope, cost, and terms

Energy Saving Calculations (Include as supplemental documentation to the incentive application):

- Provide basic calculations to support the savings estimate in Table 2a and 2b
- Outline the contribution of each expected energy saving measure
- Provide an estimate of the existing annual energy usage for the facility (kWh and therms)
- When project specific values are not available, use Ameren Illinois Industry Standard Values:
<https://amerenillinoisavings.com/wp-content/uploads/2022/12/AIC-Standard-Values-for-RCx-12062022.pdf>

Walkthrough Survey Report Requirements (Include as supplemental documentation to the incentive application):

- Conduct an on-site visit to confirm the existing conditions
- Include an equipment list for the existing HVAC and central plant systems
- Note any unusual conditions or challenges associated with this facility
- Identify any risks associated with the survey, implementation, or verification phases of the project (inaccessible areas, plant shutdown required to implement measures, weather limitations, etc)

Building Comparison Scores (Recommended, but not required):

- Energy Utilization Index (EUI):
www.eia.gov/consumption/commercial/data/2018/ or www.energystar.gov/buildings/benchmark/understand_metrics/what_eui
- ENERGY STAR® benchmark score. This score is established through the use of the ENERGY STAR Portfolio Manager tool,
www.energystar.gov/porfoliomanager

Retro-Commissioning Lite Survey Checklist	
This is a check list of some potential energy savings opportunities for consideration in large facilities. Please use this as a starting point – do not limit your survey to these check points.	
<ul style="list-style-type: none"> <input type="checkbox"/> Systems that simultaneously heat and cool <input type="checkbox"/> Inoperable economizers <input type="checkbox"/> Pumps with throttled discharges <input type="checkbox"/> HVAC equipment set points and run time schedules that do not correlate with actual building use <input type="checkbox"/> Lighting control schedules that do not correlate <input type="checkbox"/> Improper building pressurization <input type="checkbox"/> Short cycling of equipment <input type="checkbox"/> Variable frequency drives that operate at unnecessarily high or constant speeds <input type="checkbox"/> Occupancy sensors and photocells which are not calibrated or are not functioning as intended <input type="checkbox"/> Office equipment with power management features disabled <input type="checkbox"/> Air infiltration through windows, doors, walls, and roof <input type="checkbox"/> HVAC Systems which have not been recently tested, adjusted, and balanced <input type="checkbox"/> Lack of cleaning of air side heat transfer surfaces and filters (filter replacement energy savings cannot be claimed towards project energy savings requirements) 	<ul style="list-style-type: none"> <input type="checkbox"/> Room thermostats, duct thermostats, humidistats, and temperature sensors requiring calibration <input type="checkbox"/> Inoperable or malfunctioning dampers and valve controls <input type="checkbox"/> Chilled-water systems not utilizing automated chilled-water reset <input type="checkbox"/> Chilled-water systems requiring chiller tube cleaning and improve water treatment <input type="checkbox"/> Improved boiler controls <input type="checkbox"/> Steam trap maintenance <input type="checkbox"/> Ozone laundry systems (lessen hot water usage) <input type="checkbox"/> Low flow showerheads and faucet aerators <input type="checkbox"/> Heat recovery chillers <input type="checkbox"/> Heat recovery heat exchangers <input type="checkbox"/> Install high-efficiency boilers or retrofit boiler burners <input type="checkbox"/> Install economizers <input type="checkbox"/> Install insulation around domestic hot water tanks, steam and hot water distribution lines, condensate return lines, deaerator tanks, and boiler feedwater storage tanks <input type="checkbox"/> Repair or upgrade the heating insulation surfaces of boilers

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Table 2a - Survey Estimate For Electric Measures (Existing Equipment)						
ECM #	Measure Description	A	B	C	D	E
		Estimated Annual kWh Savings	Your Electric Rate (\$/kWh) <small>(Annual electricity spend) ÷ (annual kWh)</small>	Estimated Savings (\$) A x B	Estimated Project Cost (\$)	Simple Payback (Years) D ÷ C
Retro-Commissioning Opportunities (ONLY 0-2 year payback)						
1						
2						
3						
4						
5						
Custom Opportunities (ONLY 2-15 year payback)						
1						
2						
3						
4						
5						

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Table 2b - Survey Estimate For Gas Measures (Existing Equipment)						
ECM #	Measure Description	A	B	C	D	E
		Estimated Annual Therm Savings	Your Gas Rate (\$/therm) <small>(annual gas spend) ÷ (annual therms)</small>	Estimated Savings (\$) <small>A x B</small>	Estimated Project Cost (\$)	Simple Payback (Years) <small>D ÷ C</small>
Retro-Commissioning Opportunities (ONLY 0-2 year payback)						
1						
2						
3						
4						
5						
Custom Opportunities (ONLY 2-15 year payback)						
1						
2						
3						
4						
5						

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Terms and Conditions Customer Acknowledgment

I certify that all information provided in this Application is correct and complete. I have read, understand and agree to the program requirements and the Terms and Conditions set forth in this Application, and I agree to abide by these requirements.

- By checking this box and signing below, I certify that I have authority to make these statements and submit this Application. **Applications will not be accepted as complete, unless this box is checked and all below fields are completed.**

Project Estimated Completion Date (mm/dd/yyyy) _____

Estimated Lead Time for Major Equipment (weeks) _____

Print Name _____ Title _____

Signature _____ Date (mm/dd/yyyy) _____

Application must be signed & dated by the customer contact or authorized third party. Electronic signatures are accepted. Typing your name above constitutes a valid electronic signature.

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Survey Phase

Completing this phase is third step in applying for incentive money for your RCx Lite project. To begin the process, complete and submit the items listed in this check list.

Survey phase checklist

1. Electronic submittal of Implementation Plan (Survey Report), per Table 3 – Implementation Plan Report Outline
2. Completed RCx Lite Energy Savings Summary worksheet
3. Completed Table 4 - Program Commitment Form

Survey Phase Guidelines

- Survey phase must be initiated within 30 days of pre-approval letter or incentives may be at risk.
- Implementation Plan must be submitted to customer and Energy Efficiency representative.
- Program Commitment Form must be completed.
- Repairs may be initiated prior to submittal of Implementation Plan and Program Commitment Form

If you have questions or are uncertain how to proceed, please contact program representatives via email: IllinoisBusinessEE@ameren.com or at 1.866.800.0747.

Table 3 – Implementation Plan Outline

NOTE: This outline is intended as a guideline for the Implementation Plan report and may be modified based on the scope of RCx Survey for each project.

Executive Summary
Facility Profile
Summary of Facility Eligibility requirements, including conditioned versus non-conditioned square footage
EUI and ENERGY STAR Benchmark Scores and Supporting Information
Energy Management Control System Drawings and Sequence of Operations
Lighting Control System Drawings and Sequence of Operations
HVAC and Lighting Drawings (as applicable to project scope)
Air-Handling Units (AHUs) design flow, power requirements, and control types
Facility Performance Baselines
Diagnosis of Operating and Comfort Problems
Identification of Component Failures and Equipment Performance Degradation
Recommendation and Prioritization of Specific Maintenance Measures, Control Changes, Balancing Changes and Equipment Improvements
Capital Improvement Measures and Recommendations
Documentation of recommended changes in operating procedures (post implementation)
Documentation of energy savings and comfort improvements (post installation)
Recommendations for ongoing tracking of the facility energy and comfort balance
Savings Calculations
 Electronic submittal of survey data (power and pressure readings)
 Electronic submittal of savings calculations or simulation inputs and assumed performance curves
 Savings from non-automated adjustments should not be claimed (e.g. routine chilled water reset adjustments by facility engineer)
 Motor efficiencies should be based on motor nameplate (or NEMA premium efficiency if nameplate not accessible)
 Pump and fan load factors should be measured where practical. If not measured, then 65% should be used.

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Table 4 – Program Commitment Form

Retro-Commissioning Survey Recommendations

The following survey recommendations will be implemented by the customer to meet the minimum savings requirements of the program: (Please briefly list the measures to be implemented here and attach a copy of the RSP's RCx Implementation Plan describing these measures in detail.)

Savings and Incentive Information

A		\$	RSP Survey Cost
B		\$	Ameren Illinois Survey Incentive (found in the pre-approval letter)
C		\$	Ameren Illinois Electric Implementation Incentive 4 cents per kWh saved
D		\$	Ameren Illinois Gas Implementation Incentive 15 cents per therm saved
E		kWh	Minimum Annual Savings Requirement (found in the pre-approval letter)
F		kWh	Planned kWh savings of the 0-1 year measures to be implemented
G		Cents/kWh	Your electric rate
H		\$	Estimated annual electrical savings (F x G)
I		Therms	Minimum Annual Gas Savings Requirement (found in the pre-approval letter)
J		Therms	Planned therm savings of the 0-1 year measures to be implemented
K		Cents/Therm	Your gas rate
L		\$	Estimated annual gas savings (J x K)
M		\$	Estimated cost of planned measures (excludes survey cost; labor can be via RSP, other contractor, or in-house)
N		Years	Payback of planned measures (M ÷ (H+L)) (Must be less than 2 years)

Program Commitment Terms and Conditions

- Minimum annual savings requirement must be met via the implementation of measures listed on this form on or before December 31, 2024 the program year deadline.
- Failure to proceed with the implementation of the listed measures and/or failure to meet the program year deadline may result in the forfeit of incentives.
- Confirmation of measures implementation via the submittal of a verification report by the Retro-Commissioning Service Provider is required during the Verification Phase.

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Implementation Phase

Completing the Implementation Phase is the fourth step in applying for incentive money for your RCx Lite project. To begin the process, complete and submit the items listed in this check list.

Implementation Phase Checklist

1. Provide notification by e-mail to the RSP and Energy Efficiency representative that the implementation phase has started.
2. Provide an update by e-mail to the RSP and Energy Efficiency representative stating the estimated completion date for the implementation phase.
3. Provide notification by e-mail to the RSP and Energy Efficiency representative that the implementation phase is complete.

Implementation Phase Guidelines

- Implementation of retro-commissioning measures may be accomplished by in house resources, local contractor, your RSP or a combination of these resources. If electrical connections are made by a contractor, an Illinois Commerce Commission-approved Certified Installer must be used
- Implementation phase must be initiated within 30 days after the survey presentation to avoid putting the project incentives at risk.
- Measures that require a scheduled plant shutdown are an exception to the 30 day deadline.

Please send all reports to the program via email: IllinoisBusinessProjects@ameren.com. Please be sure to include your project number in the subject line of the email.

Made in Illinois Bonus - Check this box and follow the instructions below.

1. Enter eligible equipment* (attach a list if additional space is needed)

Manufacturer	Model

2. Provide documentation of eligibility either with an equipment listing from the BuildingClean.org website showing that it was made in Illinois, or an affidavit from the manufacturer attesting that the equipment meets the criteria.

* Equipment must be at least 50% manufactured and/or assembled in Illinois (exclusive of packaging and installation); product installation is not considered assembly. The project must be completed between Jan. 1 and Sept. 30, 2024. More info: <https://amerenillinoisavings.com/illinois/>

Retro-Commissioning Lite (RCx Lite) Application

Verification Phase

Completing this phase is the fourth step in applying for incentive money for your RCx Lite project. To begin the process, complete and submit the items listed in this check list.

Verification Phase Checklist	
1.	The customer shall notify the RSP and Energy Efficiency representative that the implementation phase has been completed and that the verification survey can be conducted.
2.	The RSP shall schedule and conduct the verification survey using Table 5.
3.	At the conclusion of the verification survey, the RSP shall submit the verification form to Ameren Illinois with a copy to the customer (Table 5). This form will represent the final documentation for the project.
4.	In addition to the completed verification form, include a copy of <u>all</u> invoices that relate to the project.

Verification Phase Guidelines:

- Project verification will be conducted by the RSP using Table 5.
- A post-install inspection may take place by Ameren Illinois Energy Efficiency staff.
- The intent of the verification survey is to conduct a spot check of the energy-efficiency measures agreed to in the program commitment form to verify that those measures have been implemented.

If you are considering changes to your scope of work, it is imperative that you contact the Energy Efficiency staff. Program representatives will provide information on how these changes will impact your savings/incentive and the necessary steps required to request incentive changes.

Table 5 – Verification Survey Form – to be completed by the RSP (may include multiple copies of this sheet if necessary)		
Customer Company Name:		
RSP Company Name:		
Project # (from your pre-approval letter):		
Complete a section below for each survey conducted. The options for "Survey Type" include:		
<i>Heating Systems EMCS</i>	<i>HVAC Boiler Plant</i>	<i>Building Envelope Other (lighting controls, etc.)</i>
Survey 1	Type of Survey:	
	Description of Measure:	Description of Survey Approach:
	Discrepancies Noted: Y/N and Comments:	Satisfactory Implementation: Y/N and Comments:
Date: (mm/dd/yyyy)		
Survey 2	Type of Survey:	
	Description of Measure:	Description of Survey Approach:
	Discrepancies Noted: Y/N and Comments:	Satisfactory Implementation: Y/N and Comments:
Date: (mm/dd/yyyy)		
Survey 3	Type of Survey:	
	Description of Measure:	Description of Survey Approach:
	Discrepancies Noted: Y/N and Comments:	Satisfactory Implementation: Y/N and Comments:

Retro-Commissioning Lite (RCx Lite) Application

This page, and the documentation listed below, should only be submitted after project completion.

Project completion is defined as all equipment being installed and operable.

If applying for preapproval, submit all pages and documentation listed prior to this page.

Required Documentation for Completed Projects

Document Checklist for All Completed Projects:

- Project invoices**, showing the date and place of purchase, model/part numbers, quantities and unit costs of all equipment.
- Incentive Payment Request**, below, signed by the Ameren Illinois customer, with the certification box checked.
- Verification that an Illinois Commerce Commission (ICC) Certified Installer was used, or that the project was self-installed by the Ameren Illinois customer applying for incentives. This may be satisfied by completing the entry on page 2. For a full list of certified installers, visit www.icc.illinois.gov/emdb/ucdb/search and choose Authority Type, 'Energy Efficiency Installers.'
- Any documents listed in the Required Documentation for Application Submission (previous page) if not previously submitted, or if project information changed significantly since preapproval
- A summary of any specific changes since preapproval, and their rationale, if applicable.

Incentive Payment Request

I certify that all information provided is correct and complete. I have read, understand and agree to the program requirements and the Terms and Conditions set forth in this Application, and I agree to abide by these requirements.

By checking this box and signing below, I certify that I have authority to make these statements and submit this Application. All equipment is installed and operational (not placed into storage). **Applications will not be accepted as complete unless this box is checked and all below fields are completed.**

Company Name (Ameren Illinois Customer) _____

Project Number (Preapproved Projects Only) _____

Project Completion Date (mm/dd/yyyy) _____

Print Name _____ Title _____

Signature _____ Date (mm/dd/yyyy) _____

Please note, the application must be signed & dated by the customer or authorized third party. Electronic signatures are accepted. Typing your name above constitutes a valid electronic signature.